

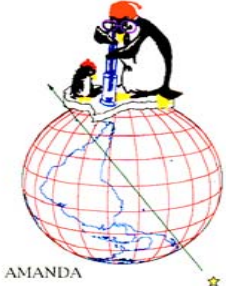


# Muon Track Reconstruction and Data Selection Techniques in AMANDA

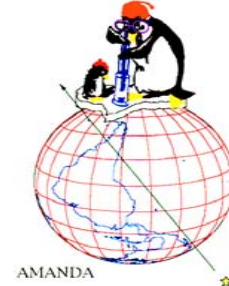


- Introduction
- Likelihood Methods
- Initial track and Minimization
- Performance
- Transient Waveform Recording
- Critique and Outlook

Christopher Wiebusch (University Wuppertal)  
VLVnT Workshop  
Amsterdam, October 2003

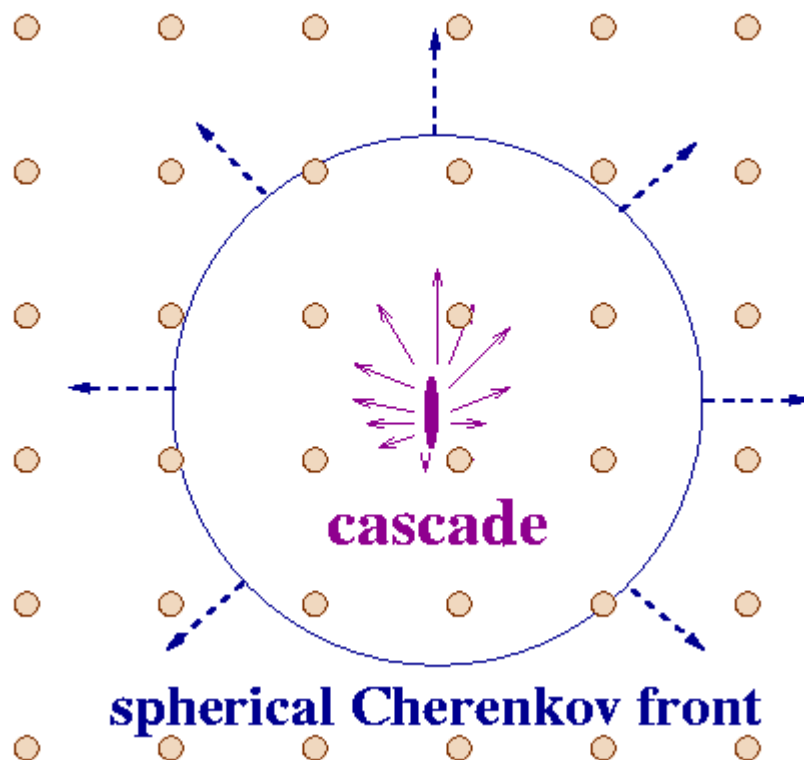
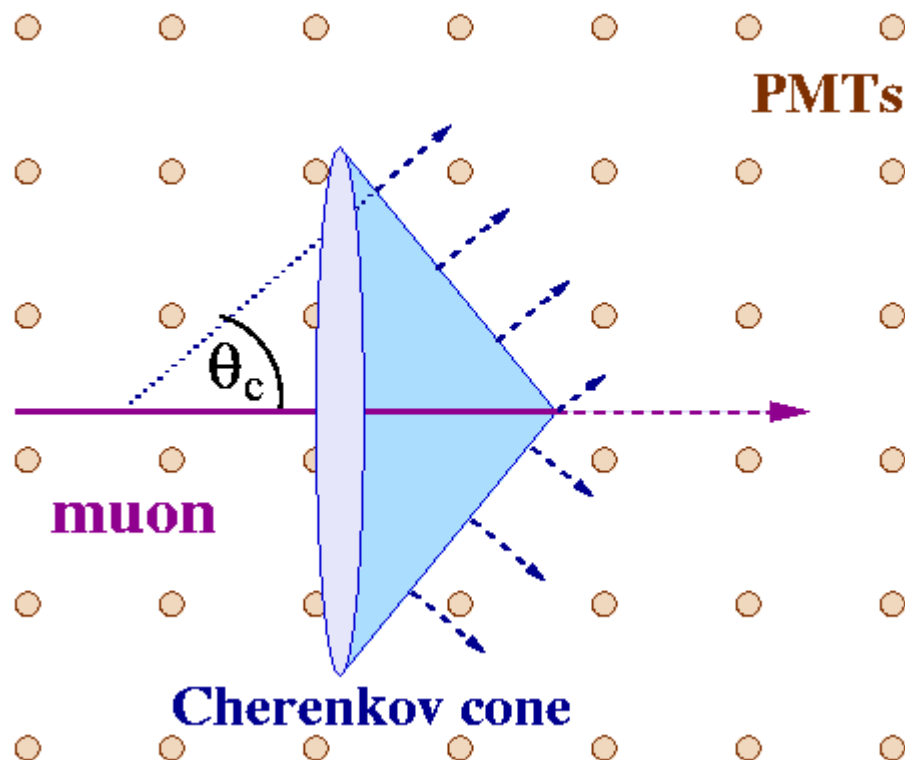


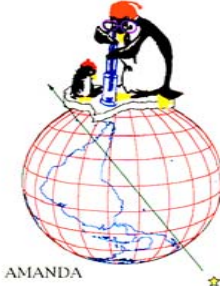
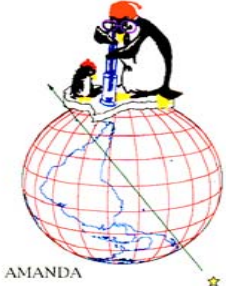
# Detection Modes



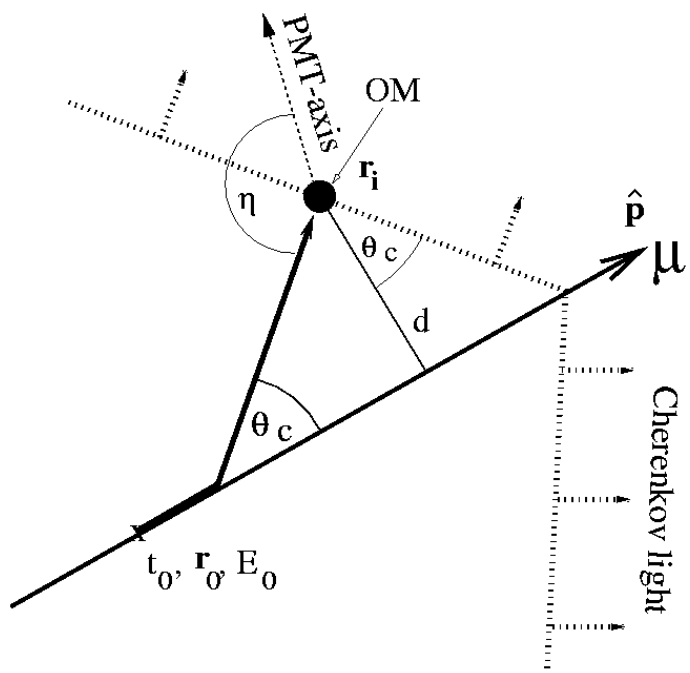
Track-like

Cascade-like

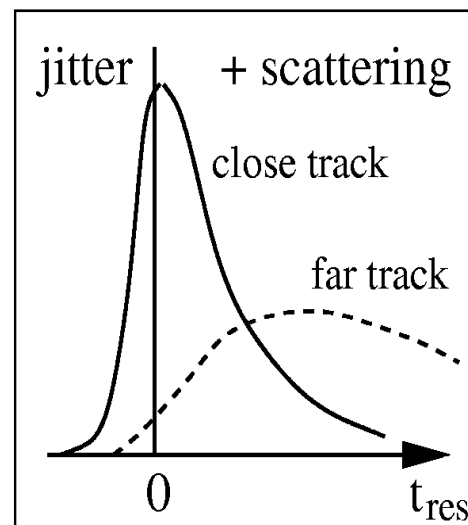
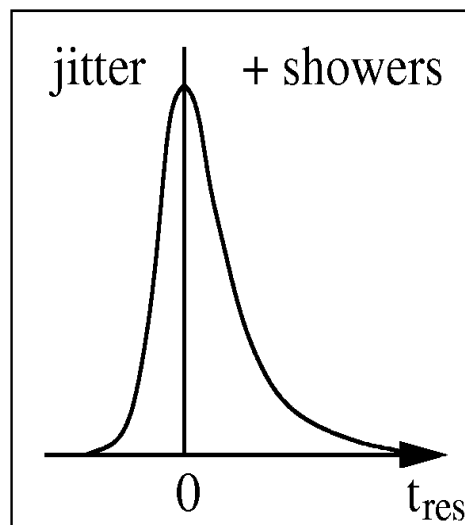
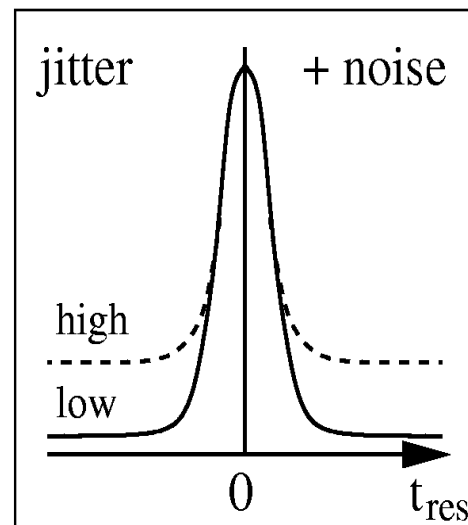
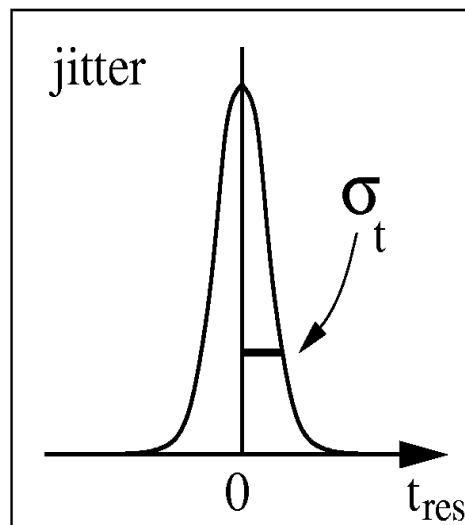


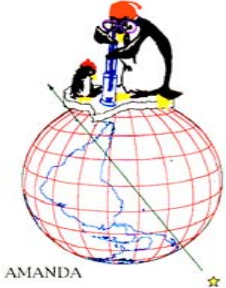


# Arrival Time

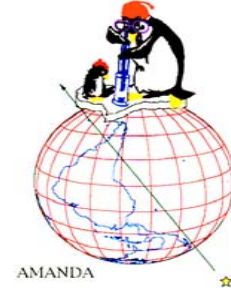


$$t_{\text{geo}} = t_0 + \frac{\hat{\mathbf{p}} \cdot (\mathbf{r}_i - \mathbf{r}_0) + d \tan \theta_c}{c_{\text{vac}}}$$





# Likelihood Description

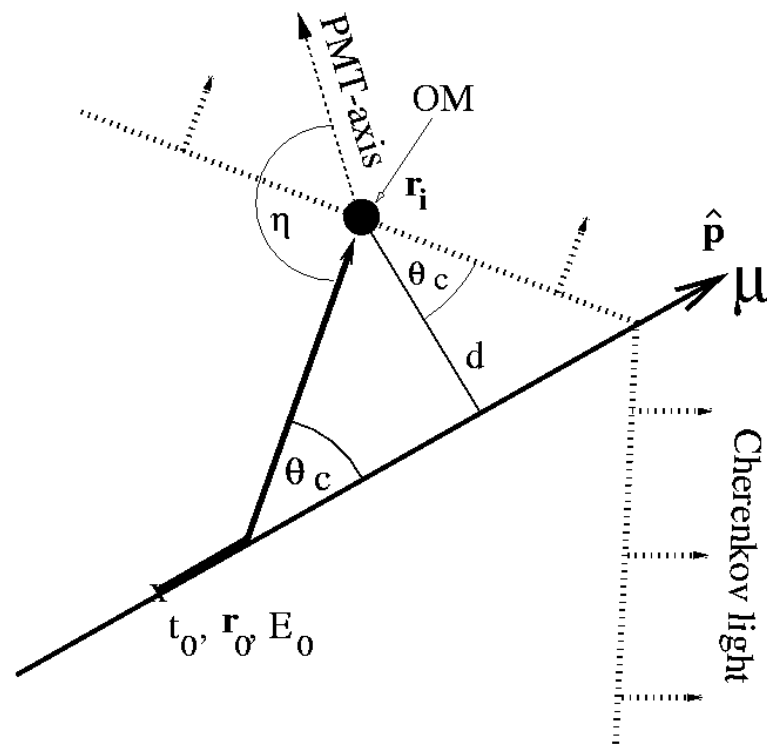


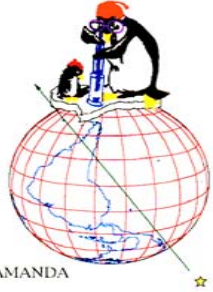
Maximize:

$$\mathcal{L}(\text{Event} \equiv \{t_1, A_1, \dots, t_n, A_n\} \mid \text{Track} \equiv \{\mathbf{r}_0, t_0, \mathbf{p}, E\})$$

Single Photo-Electron Time Likelihood (SPE)

$$\mathcal{L}_{\text{time}} = \prod_{i=1}^{N_{\text{hits}}} p_1(t_{\text{res},i} \mid \mathbf{a} = d_i, \eta_i, \dots)$$





# Multi Photon Likelihood (1st photon)



## Multi Photo-Electron Likelihood (MPE)

$$p_N^1(t_{\text{res}}) = N \cdot p_1(t_{\text{res}}) \cdot \left( \int_{t_{\text{res}}}^{\infty} p_1(t) dt \right)^{(N-1)} = N \cdot p_1(t_{\text{res}}) \cdot (1 - P_1(t_{\text{res}}))^{(N-1)}$$

## Poisson Saturated Amplitude Likelihood (PSA)

$$p_{\mu}^1(t_{\text{res}}) = \frac{1}{N} \sum_{i=1}^{\infty} \frac{\mu^i e^{-\mu}}{i!} \cdot p_i^1(t_{\text{res}}) = \frac{\mu}{1 - e^{-\mu}} \cdot p_1(t_{\text{res}}) \cdot e^{-\mu P_1(t_{\text{res}})}$$

If several photons arrive, the earliest arrives earlier than predicted by the SPE likelihood



# Likelihood Extensions



$P_{\text{hit}}-P_{\text{nohit}}$  Likelihood

$$\mathcal{L}_{\text{hit}} = \prod_{i=1}^{N_{\text{ch}}} P^{\text{hit},i} \cdot \prod_{i=N_{\text{ch}}+1}^{N_{\text{OM}}} P^{\text{no-hit},i},$$

essentially evaluates  
mean visual range

Combination of Time and Phit Likelihood

$$\mathcal{L}_{\text{MPE} \oplus P^{\text{hit}} P^{\text{no-hit}}} = \mathcal{L}_{\text{MPE}} \cdot (\mathcal{L}_{\text{hit}})^w$$

Currently best  
performance



# Likelihood of Waveforms



Likelihood of a waveform with  $N$  photons (resolved)

$$\mathcal{L} = N! \cdot \prod_{i=1..N} p_1(t_i)$$

Likelihood of a waveform with  $N$  pulses of  $n_{pe_i}$  photons (unresolved)

$$\mathcal{L} = N! \cdot \prod_{i=1..N} n_{pe_i} \cdot p_1(t_i) \cdot (P_1(t+\Delta) - P_1(t_i))^{n_{pe_i}-1}$$



# Zenith weighted reconstruction



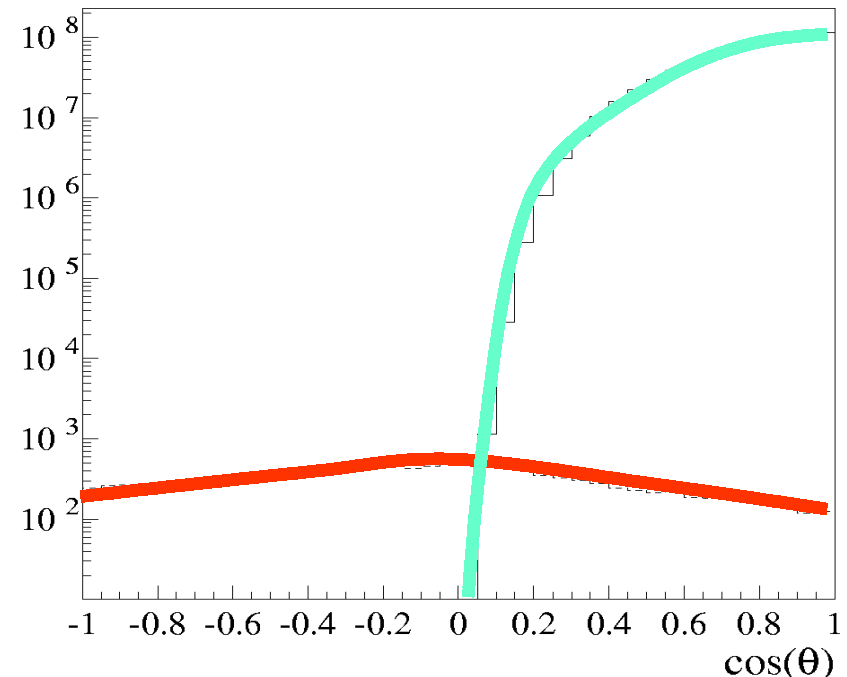
Maximize:

$$\mathcal{L}(\text{Track} | \text{Event}) = \frac{\mathcal{L}(\text{Event} | \text{Track}) * \mathcal{L}(\text{Track})}{\mathcal{L}(\text{Event})}$$

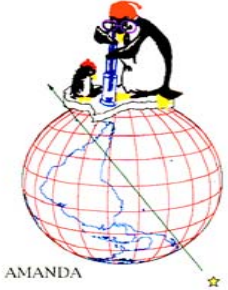
Motivated by Baye's Theorem

Zenith weighted Reconstruction:  
 $\mathcal{L}(\text{Track}) = \Phi(\theta)$

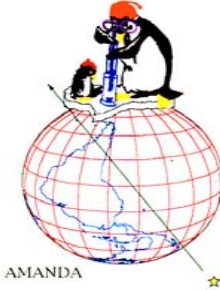
Convergence in one hemisphere  
 $\mathcal{L}(\text{Track}) = \Theta(\pm(\theta - 90))$



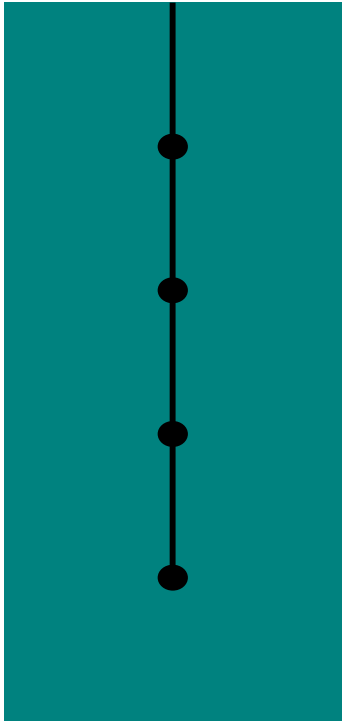




# Ice modeling

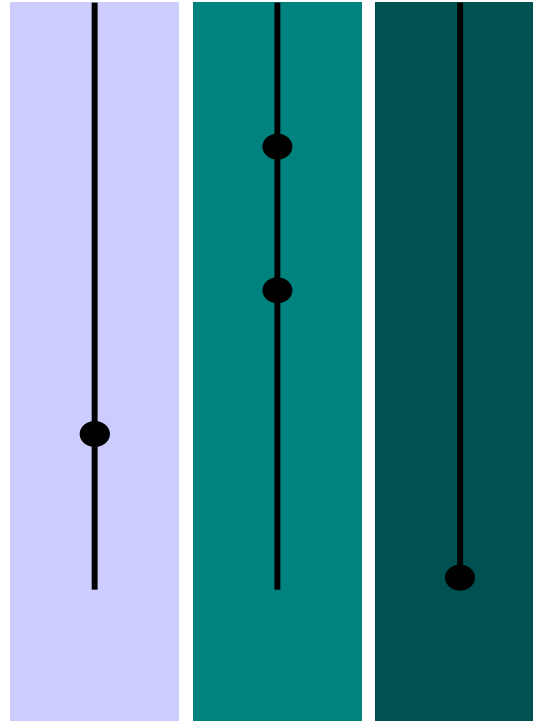


## Bulk PTD



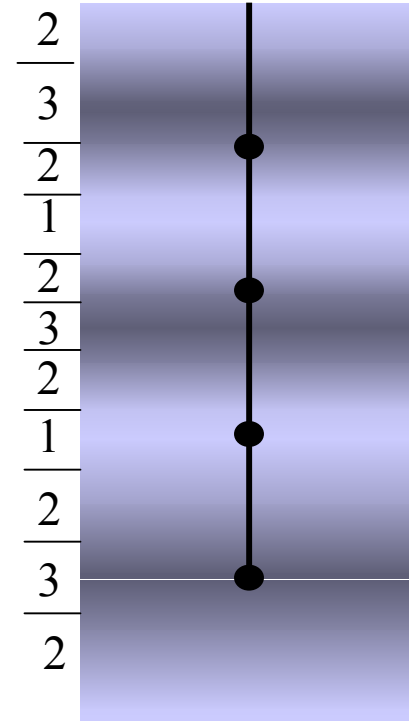
average ice

## Layered PTD



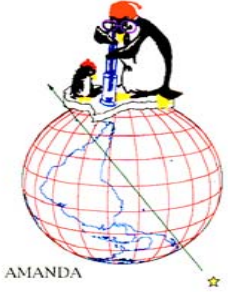
type 1   type 2   type 3

## Photonics



“real” ice

3d-Simulation of photons emitted from **track-segments** and **cascades**:  
**Arrival probability** and **time** at the receiver



# Likelihood Implementation



- Direct reconstruction using PTD tables  
→ extension layered Ptd and Photonics
- Simplified analytical parameterization with a Gamma distribution (Pandel function)

$$p(t_{\text{res}}) \equiv \frac{1}{N(d)} \frac{\tau^{-(d/\lambda)} \cdot t_{\text{res}}^{(d/\lambda-1)}}{\Gamma(d/\lambda)} \cdot e^{-\left(t_{\text{res}} \cdot \left(\frac{1}{\tau} + \frac{c_{\text{medium}}}{\lambda_a}\right) + \frac{d}{\lambda_a}\right)}$$
$$N(d) = e^{-d/\lambda_a} \cdot \left(1 + \frac{\tau \cdot c_{\text{medium}}}{\lambda_a}\right)^{-d/\lambda},$$

Very few parameters describe the full phase space:

$$\begin{array}{ll} \tau = 557 \text{ ns} & d_{\text{eff}} = a_0 + a_1 \cdot d \\ \lambda = 33.3 \text{ m} & a_1 = 0.84 \\ \lambda_a = 98 \text{ m} & a_0 = 3.1 \text{ m} - 3.9 \text{ m} \cdot \cos(\eta) + 4.6 \text{ m} \cdot \cos^2(\eta) \end{array}$$

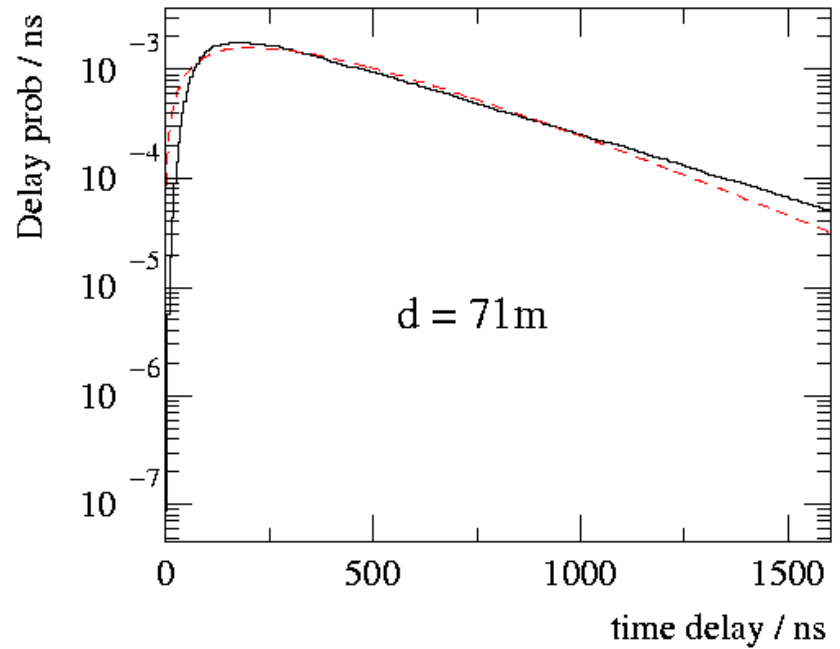
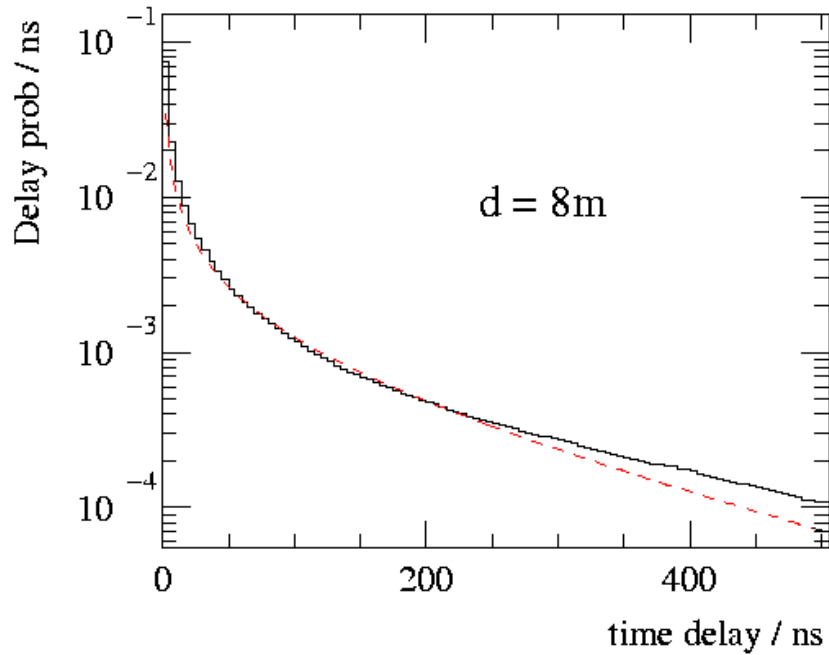
Fast calculation, integrable (→MPE ...)

Limited accuracy, need to convolute with a Gaussian (PMT jitter)

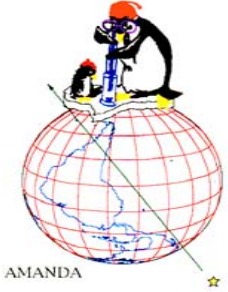
Only Bulk ice (status 1998) implemented. Upgrade is underway!



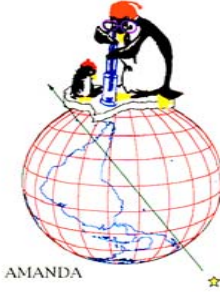
# Parameterization



— Ptd bulk ice (1998)  
- - - Pandel parameterization



# Initial Track: LineFit



Assume all hits are points on a line, which is moving with velocity  $\mathbf{v}$  through the detector

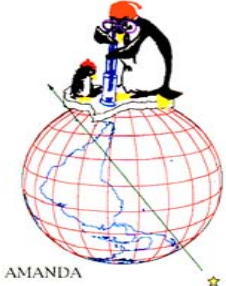
$$\mathbf{r}_i \approx \mathbf{r} + \mathbf{v} \cdot t_i$$

Constructing:

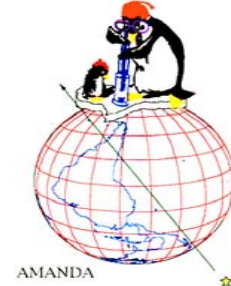
$$\chi^2 \equiv \sum_{i=1}^{N_{\text{hit}}} (\mathbf{r}_i - \mathbf{r} - \mathbf{v} \cdot t_i)^2$$

$d\chi^2 / d\mathbf{r} = 0$  and  $d\chi^2 / d\mathbf{v} = 0$  gives the analytic solution:

$$\mathbf{r} = \langle \mathbf{r}_i \rangle - \mathbf{v} \cdot \langle t_i \rangle \quad \mathbf{v} = \frac{\langle \mathbf{r}_i \cdot t_i \rangle - \langle \mathbf{r}_i \rangle \cdot \langle t_i \rangle}{\langle t_i^2 \rangle - \langle t_i \rangle^2}$$



# Initial Track: Direct Walk



Four step fast pattern recognition algorithm:

- 1.) **Select track-elements (TE)** by finding distant OMs ( $d > 50\text{m}$ ) for which:  

$$|\Delta t| < d/c_{\text{vac}} + 30\text{ns}, \quad (\text{causality})$$
- 2.) **Select associated hits (AH)** for each TE with reasonable relative times:  

$$-30\text{ns} < t_{\text{res}} < 300\text{ns}, \quad d < 25\text{m ns}^{1/4} / (t_{\text{res}} + 30\text{ns})^{1/4}$$
- 3.) **Select track candidates (TC):**  
 More than 10 AH and lever arm (RMS of AH points)  $> 20\text{m}$
- 4.) **Cluster search:** Select cluster with most TC  

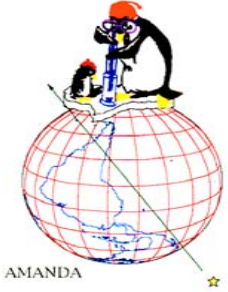
$$\psi < 15^\circ, \quad Q_{\text{TC}} > 0.7 Q_{\text{max}} \text{ with } Q_{\text{TC}} = \min(N_{\text{AH}}, 0.3\text{m}^{-1} \cdot \sigma_L + 7)$$

Algorithm is **fast, efficient** and has a **good angular resolution**.

Capable to **identify muon bundles**

(P.Steffen, 2001)

reconstruction	atm. $\mu$	atm. $\nu$
direct walk	1.5%	93%
line-fit	4.8%	85%



# Minimization

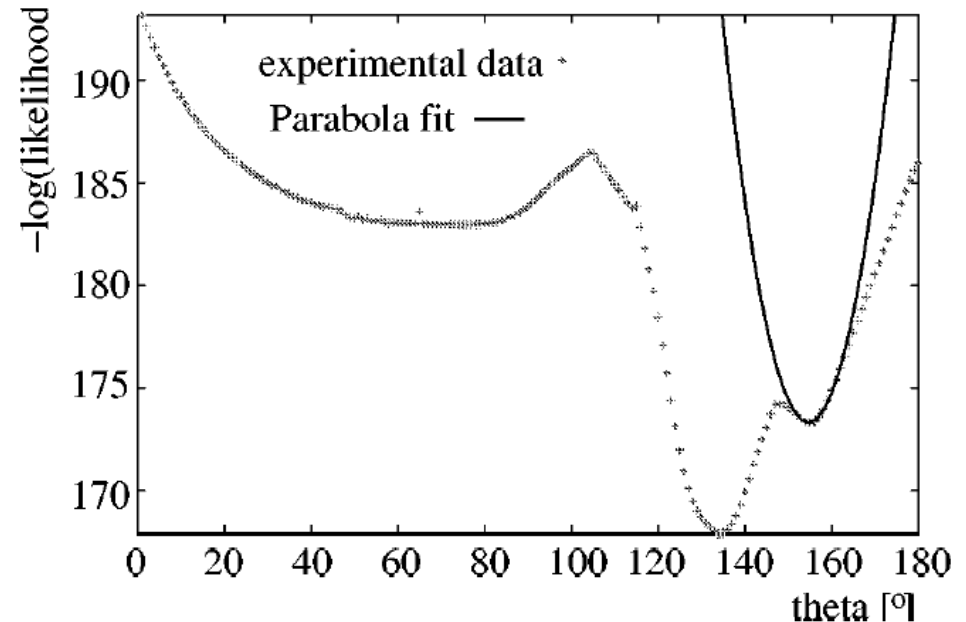


## Minimization of $-\log(L)$

- Powell's (NR)
- Minuit (Mini)
- Simplex
- Simulated annealing (NR)
- TMinuit

## Problems:

- Local Minima
- Vertical coordinates  
(ambiguity in azimuth)



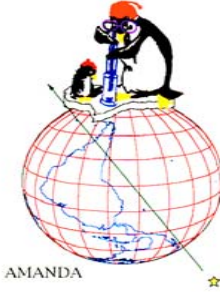
## Manipulate initial track to improve convergence:

- Shift  $r_0$  close to COG
- Transform  $t_0$ : Evaluate all arrival times and avoid negative times

-> Iterative Reconstruction



# Iterative Minimization



Ability to find global minimum depends on initial track but 5 free parameter ( $\vartheta, \varphi, \mathbf{r}_0$ )  $\rightarrow$  no systematic scan of the full parameter space

Iterate: Reconstruct the event N - times.

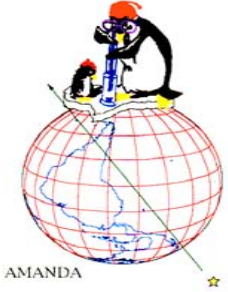
Use the track result of previous iteration and randomize  $\vartheta$  and  $\varphi$

Use reasonable values for  $\mathbf{r}_0$  (shift to COG,  $t_0$  shift)

Store each found minimum and use finally the best result

Already about 10 to 20 iterations are sufficient to find the global minimum.

CPU time is proportional N but ok for filtered data

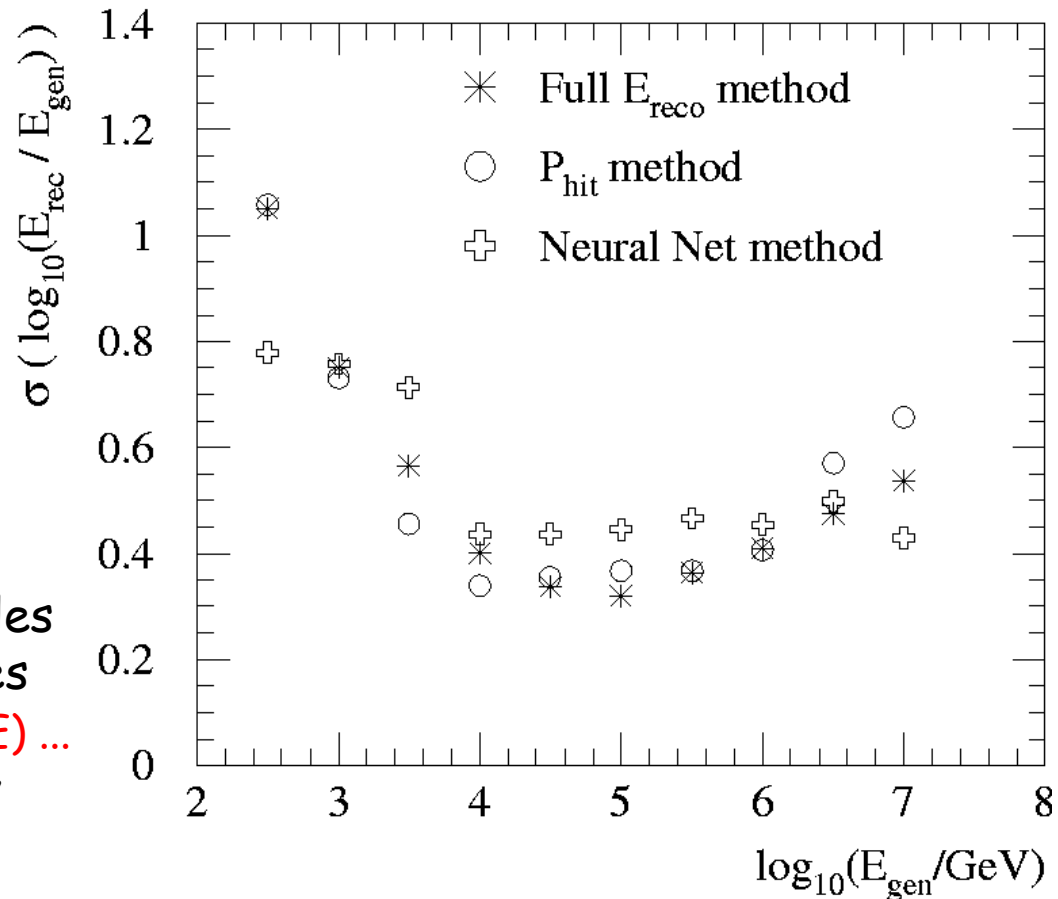


# Energy Reconstruction

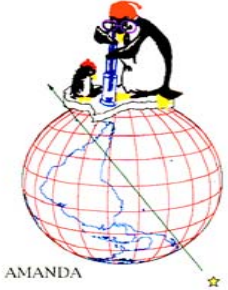


## 3 (+1) Strategies:

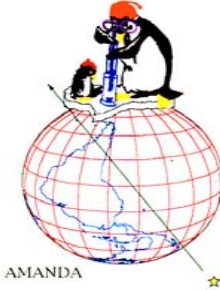
- Fit **mean visual range** with  $P_{\text{hit}} - P_{\text{nohit}}$  **Likelihood**
- **Amplitude Likelihood**
  - Complicated, needs detailed Ice Model
  - Fluctuations, Dynamic range
- **Neural Network**
  - use energy correlated variables
  - presently only simple variables **Nch, Nhits, <ADC>, <LE>, RMS(LE) ...**
  - Use reconstruction geometry information in future
- **Cut on Nch** ( -> diffuse limit)



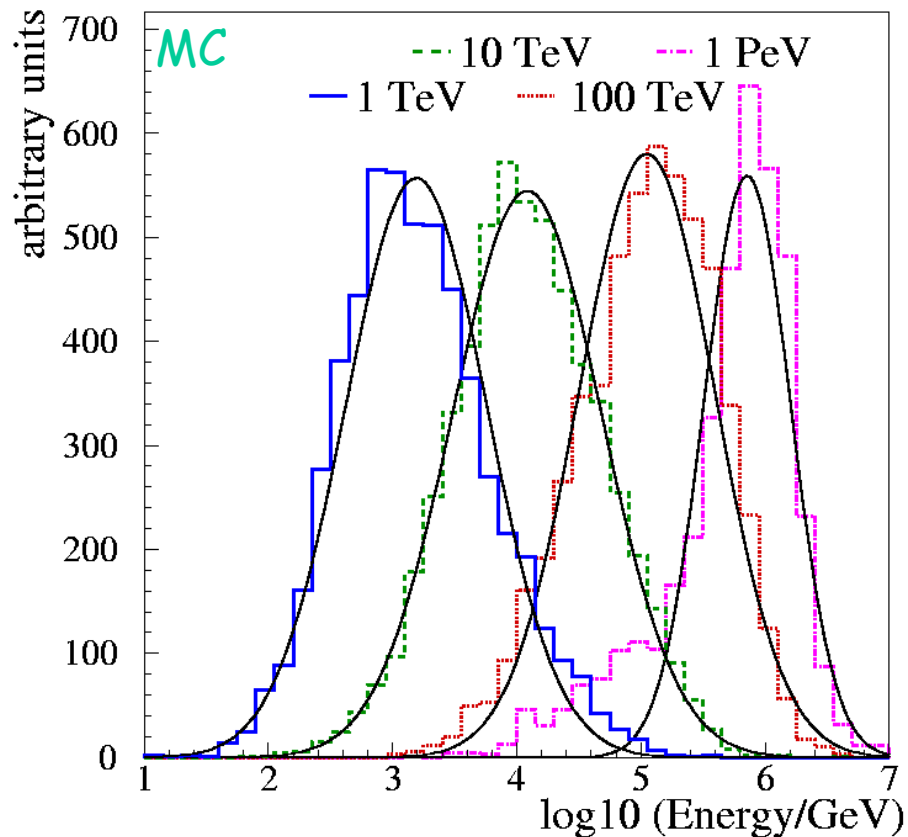




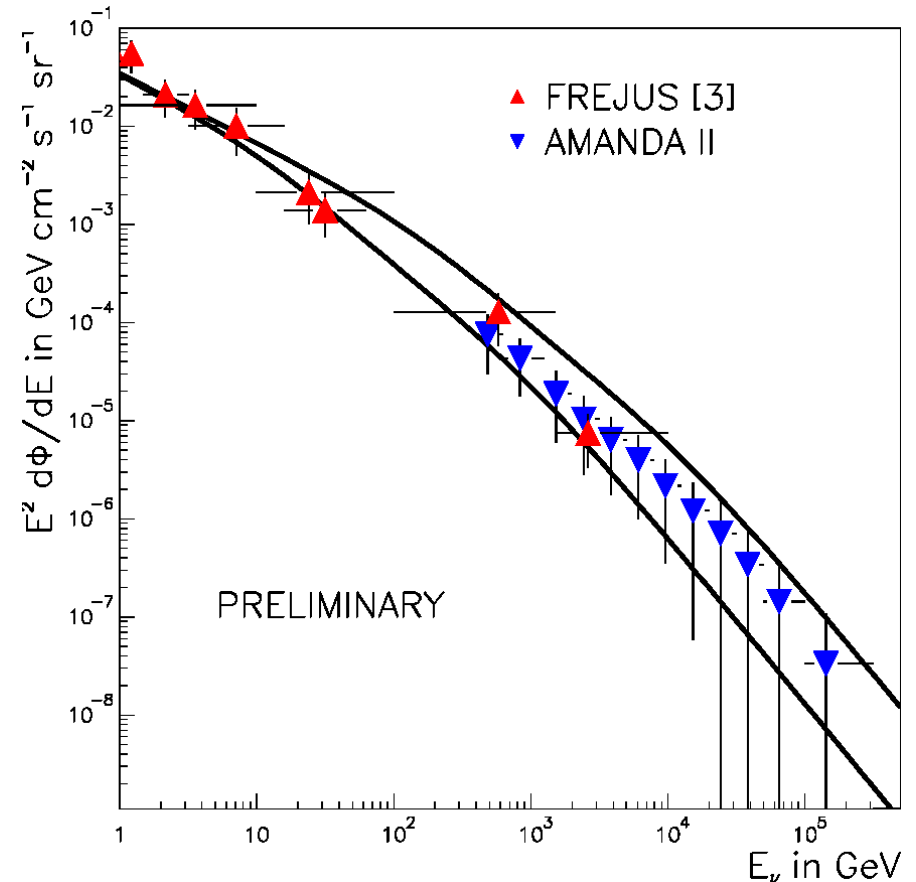
# Neural Network result



MC Test network with  
mono-energetic muons



Result after unfolding the energy  
spectrum of measured neutrinos  
(2000 point-source sample)





# Background rejection



## Background classes:

- Horizontal muons
- Muon bundles
- Secondary cascades (brems)
- Stopping muons
- Scattering ice layers
- Corner clippers
- Synchronous muons
- Instrumental effects  
(X-talk, noisy channels)

## Important selection cuts:

$I = -\log(L)/N_{\text{free}}$  Likelihood parameter

$I_{\text{track}} / I_{\text{cascade}}$

$I_{\text{up}} / I_{\text{down}}$

$N_{\text{direct}}$  : Number of unscattered hits

$L_{\text{direct}}$  : Track length (*Lever arm*)

$S$  : Smoothness =  $\text{MAX}(S_j)$

$$S_j \equiv \frac{j-1}{N-1} - \frac{l_j}{l_N}$$

$$S_j^{\text{Phit}} \equiv \frac{\sum_{i=1}^j \Lambda_i}{\sum_{i=1}^{N_{\text{OM}}} \Lambda_i} - \frac{\sum_{i=1}^j P^{\text{hit},i}}{\sum_{i=1}^{N_{\text{OM}}} P^{\text{hit},i}}$$

... many more

Typically these cuts quantify information which was not evaluated in the reconstruction itself



# Performance: Dependence on Selection



Strawman analysis for the demonstration of the typical AMANDA-II performance:

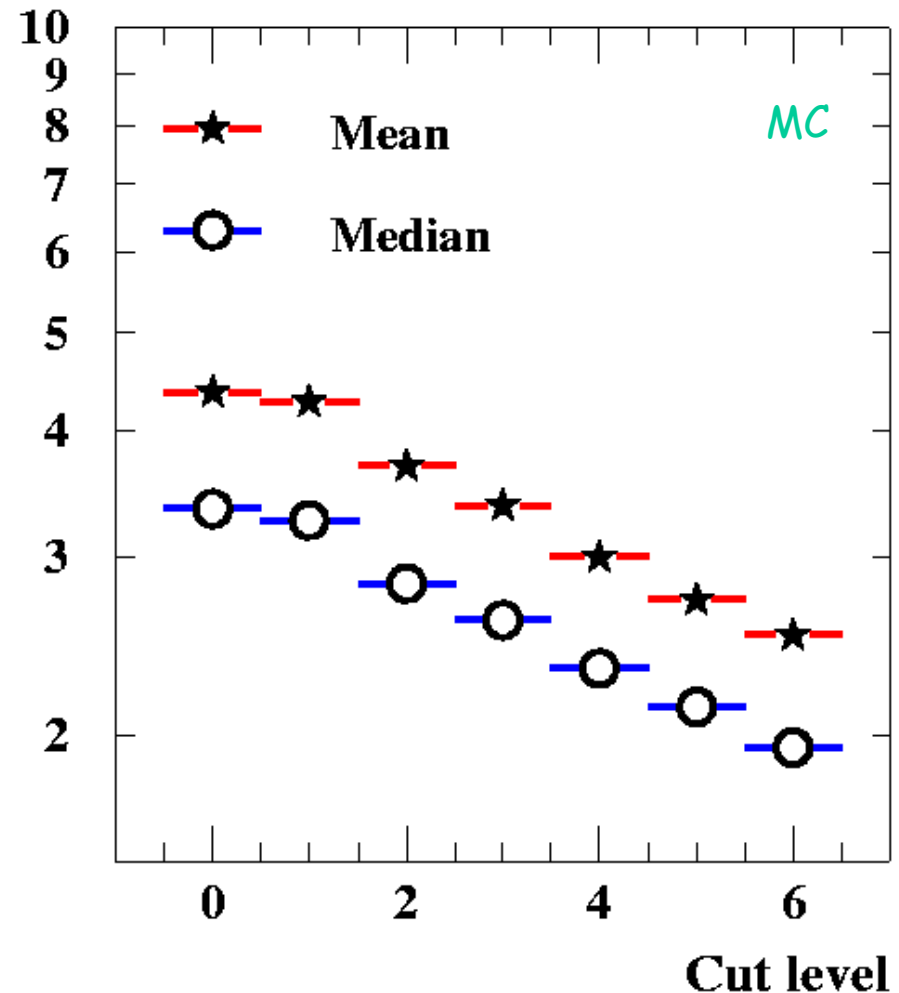
Cuts:  $N_{ch}$ ,  $N_{dir}$ ,  $L_{dir}$ ,  $I_{SPE}$ ,  
 $\psi$  (DW, SPE, MPE)

Cut level:

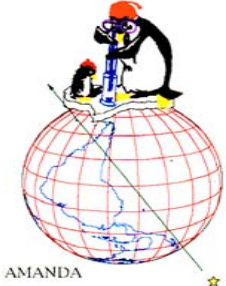
Each cut set to 95% passing efficiency for atm. neutrinos relative to the previous level

- Angular resolution strongly depends on the selection
- AMANDA-II achieves an angular resolution of typically  $2^\circ$

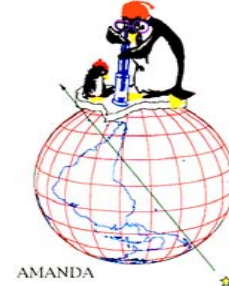
space angle deviation [ $^\circ$ ]



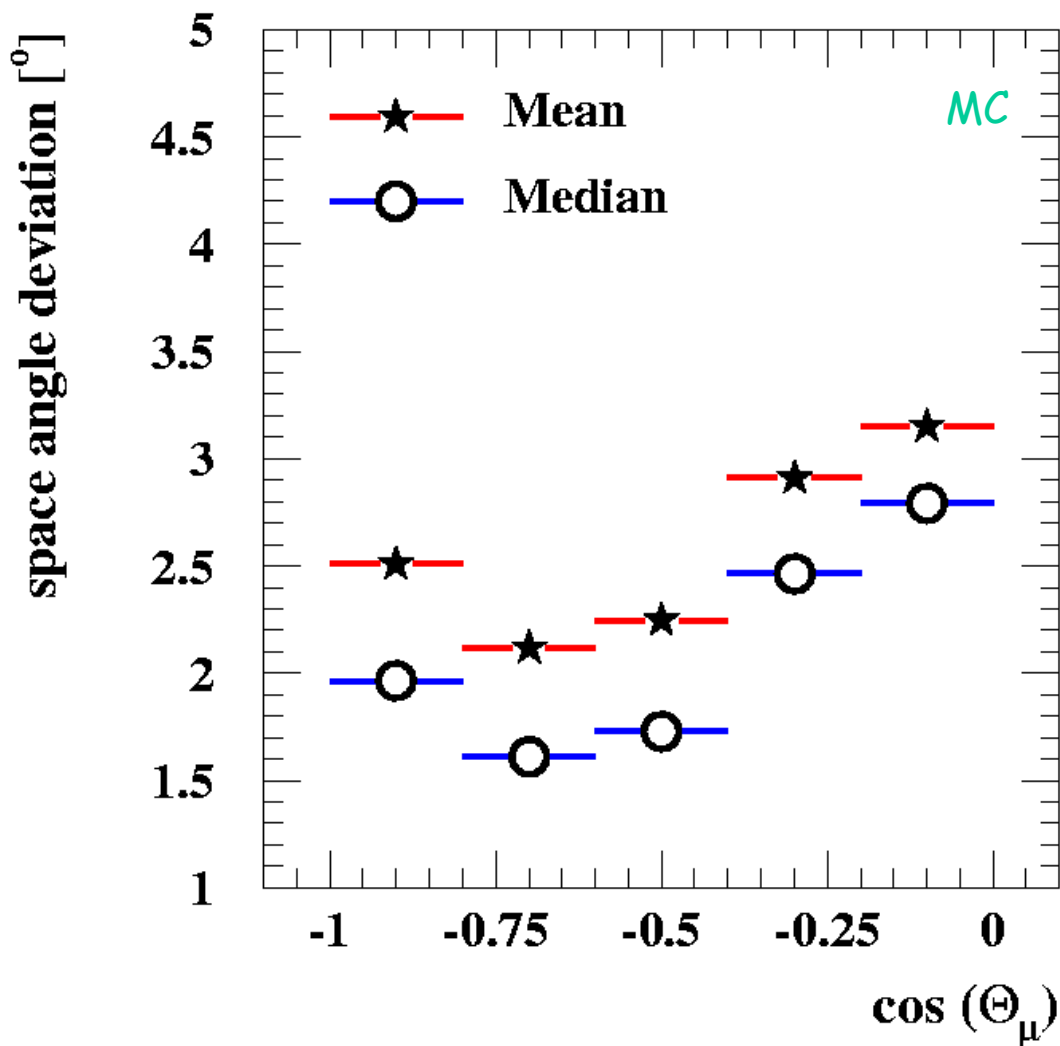
=> Use "Cut level 6" sample in the following

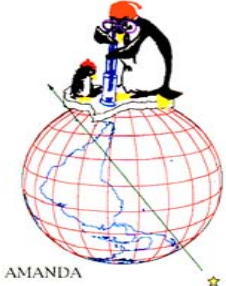


# Zenith Dependence

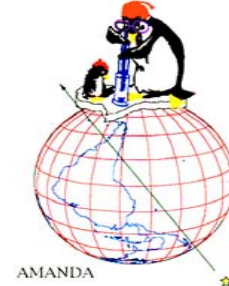


Zenith angle dependence  
due to geometry of the  
AMANDA-II detector



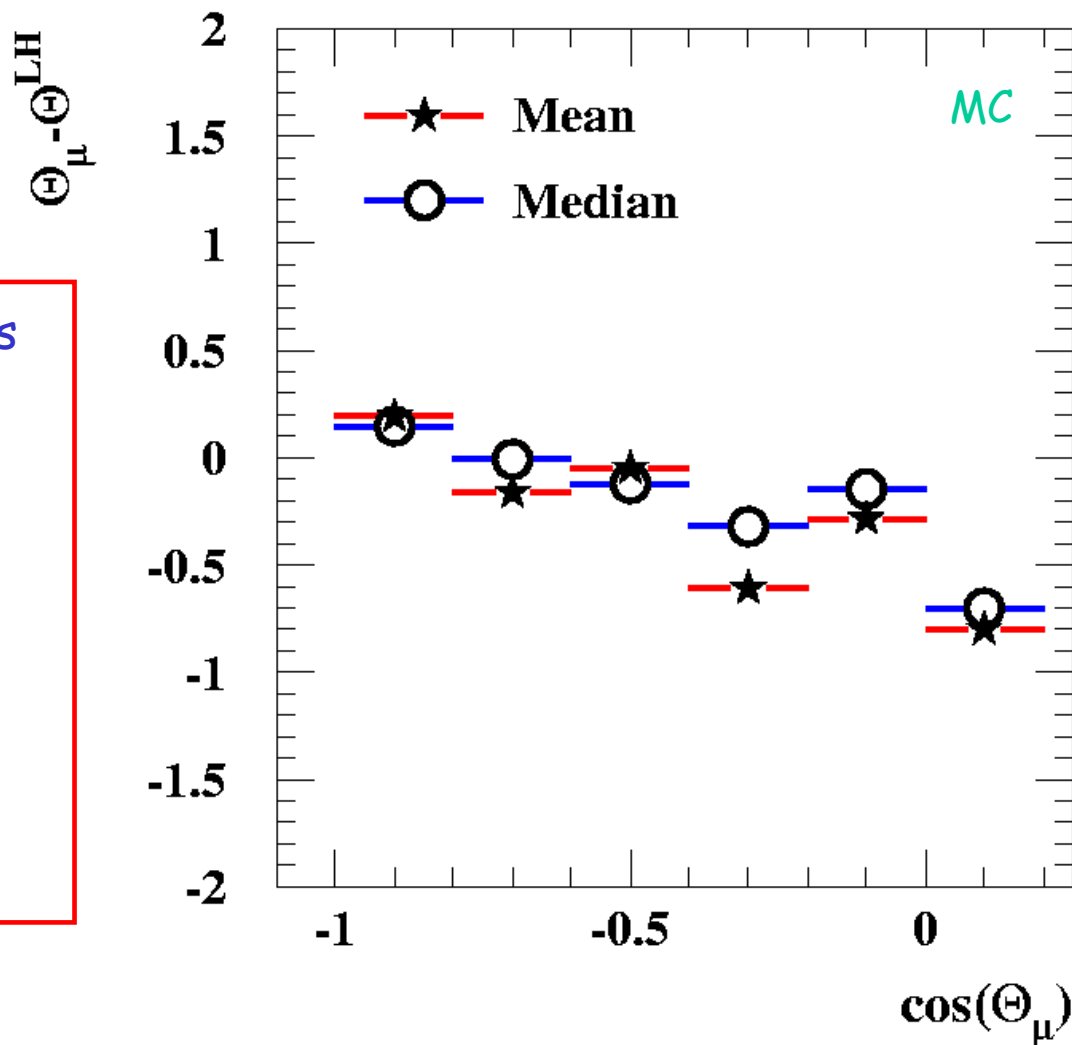


# Zenith Shift



Small zenith shift ( $\pm 0.5^\circ$ ) as function of the zenith angle due to geometry of the AMANDA-II detector

- Verified by SPASE coincident events
- Can be corrected for (Not corrected here)



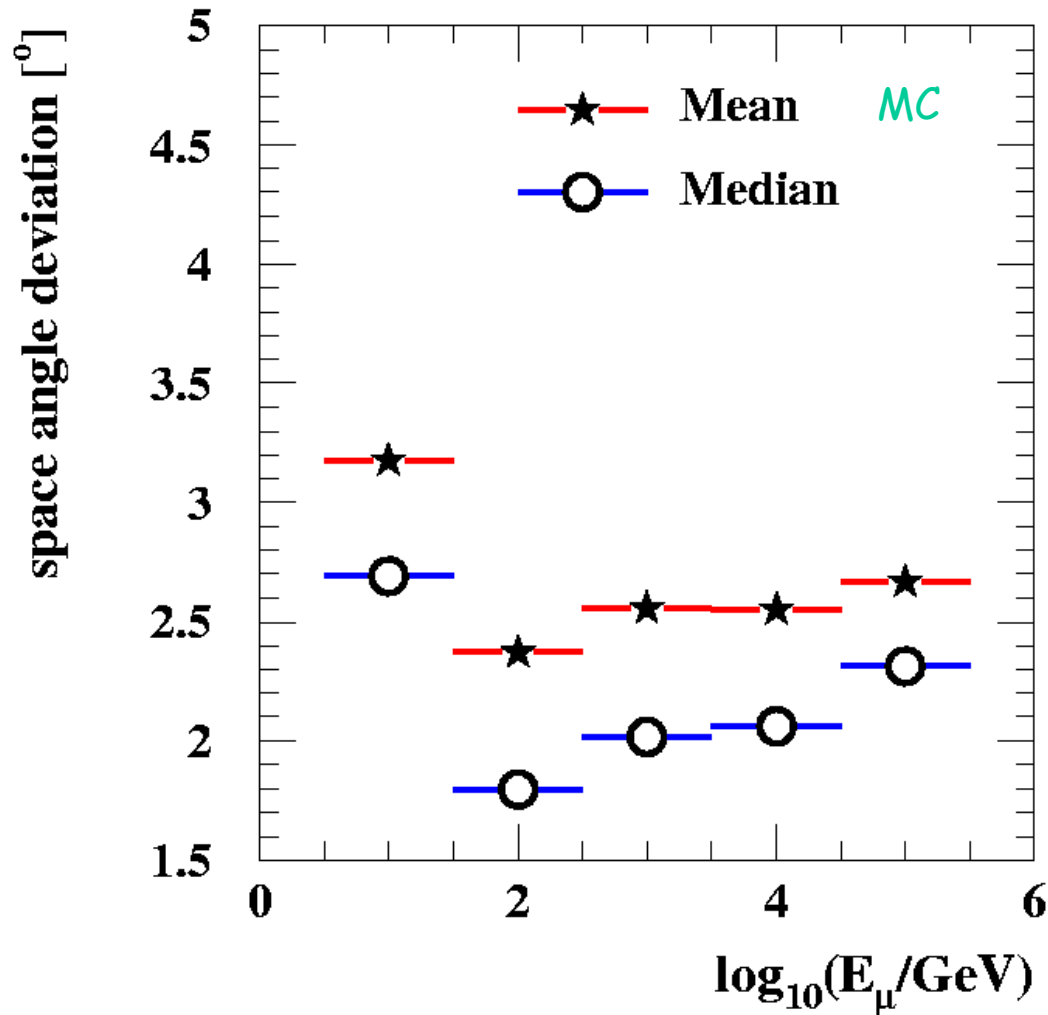


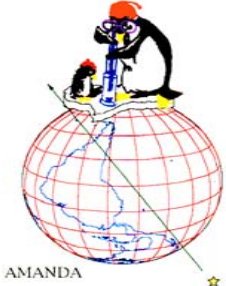
# Energy Dependence



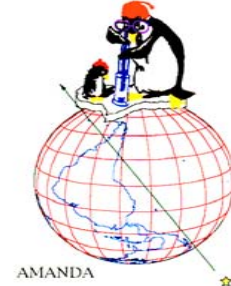
Angular resolution is degrading at higher energies because of a wrong fit model:

- SPE fit
  - infinite minimum ionizing track (but secondary cascades dominate the light output)
- > improved likelihood model
- > pattern recognition (in wave-forms)

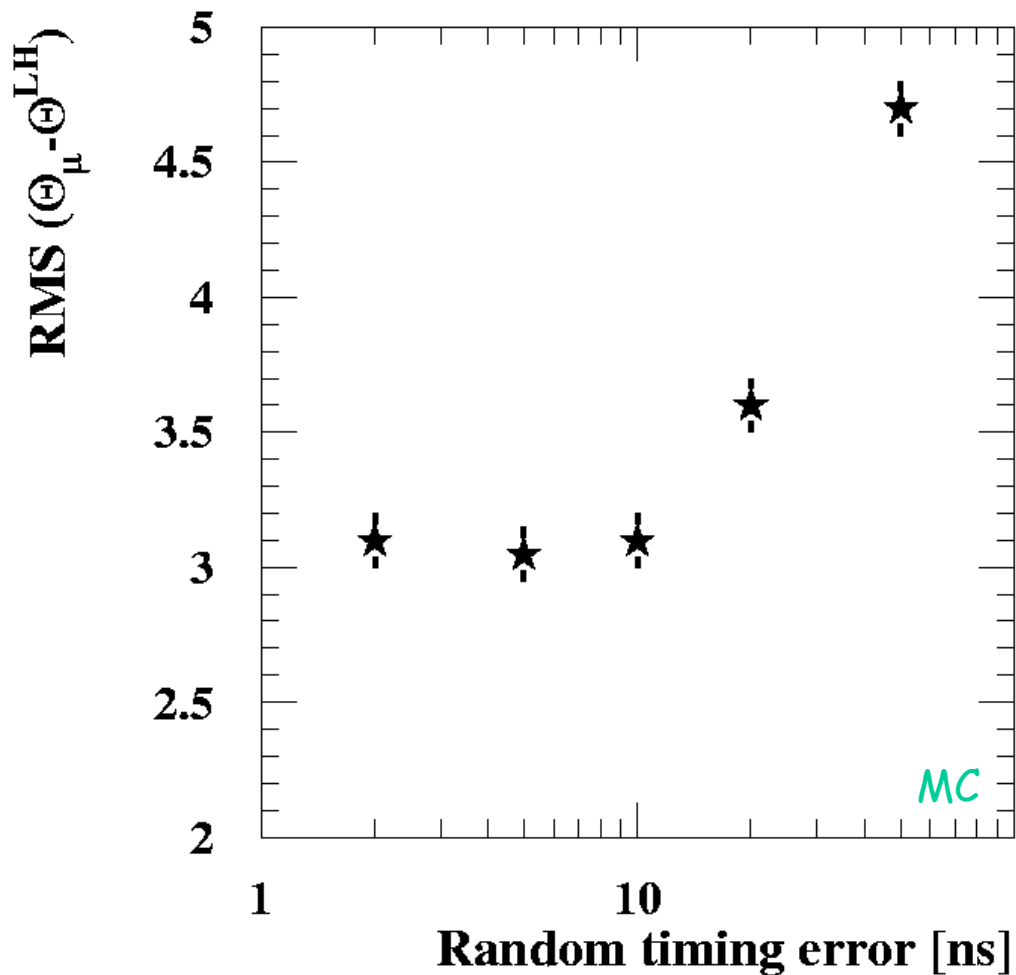




# Calibration Uncertainties



The reconstruction is insensitive to calibration uncertainties, which are of the order of 5-7 ns





IceCube

# IceCube



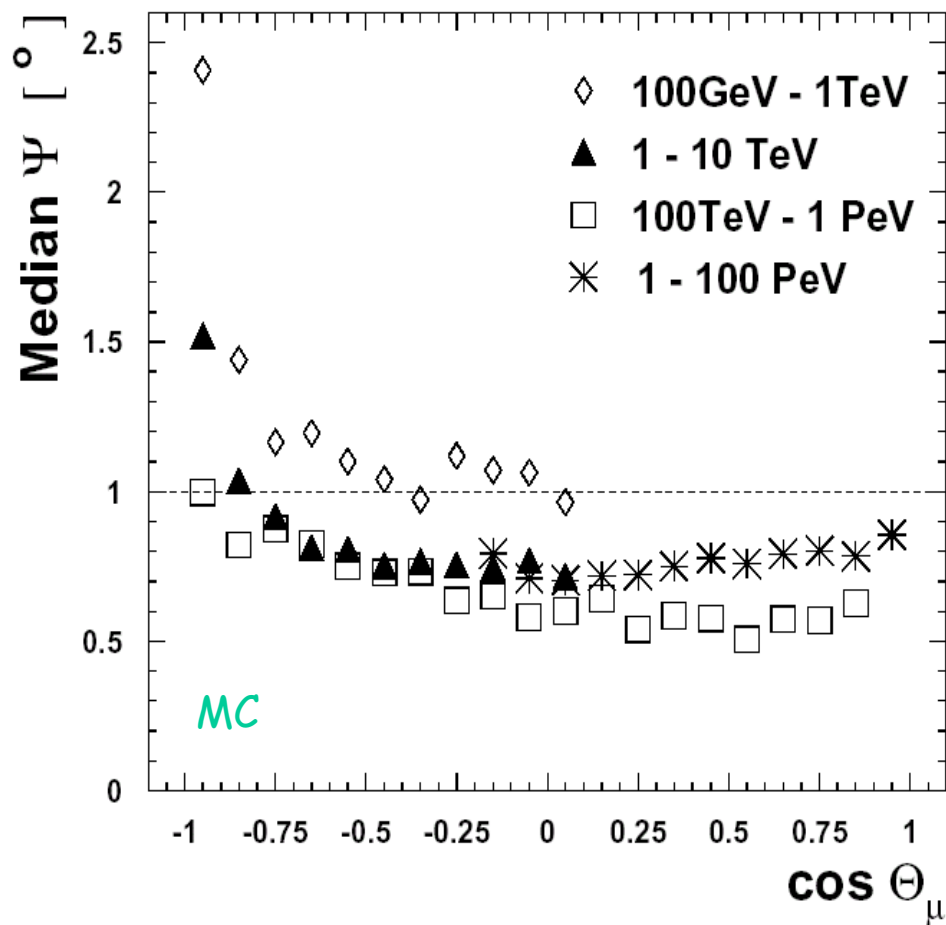
IceCube

Current performance estimates of IceCube are only based on the standard AMANDA reconstruction

Still a lot of things improve

- $\Delta\psi \sim 0.6^\circ - 0.8^\circ$  ( $E > 1\text{ TeV}$ )
- no degradation at high energies
- good performance at horizon

We expect a strong improvement, after establishing advanced capabilities of the IceCube Digital OM's







# Critique of the current reconstruction



Reconstruction in AMANDA is still (!) not final. So far the collaboration concentrated on:  
Finding a method that works and producing first physics results.  
(so, its actually bad and that's why we can expect strong improvements in the future)

The current Likelihood Model: The assumption of a single minimum ionizing track is an underlying problem when trying to improve the current performance.

The current Parameterization:

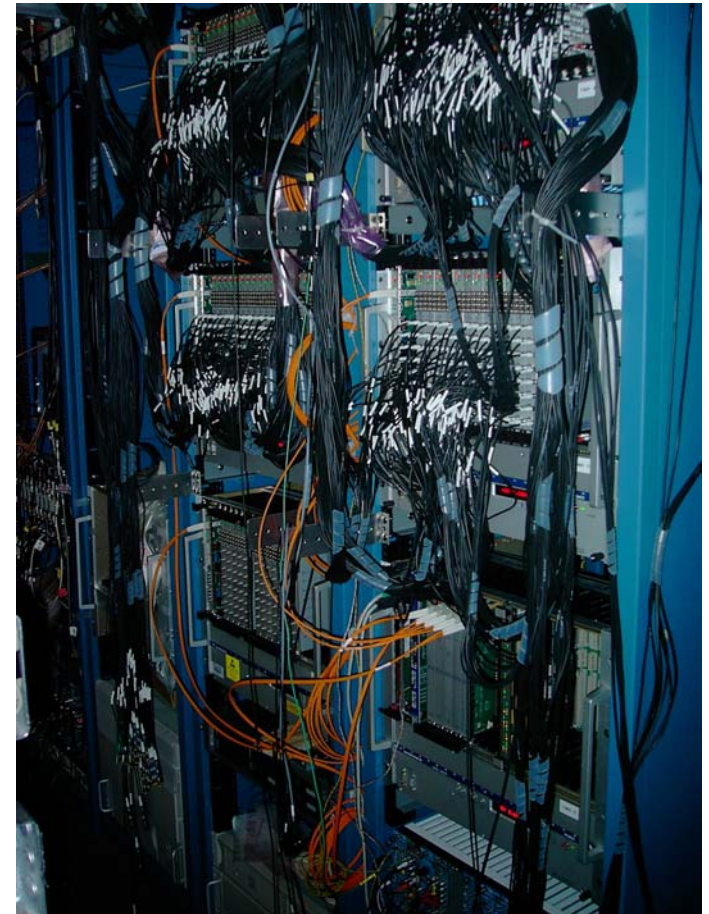
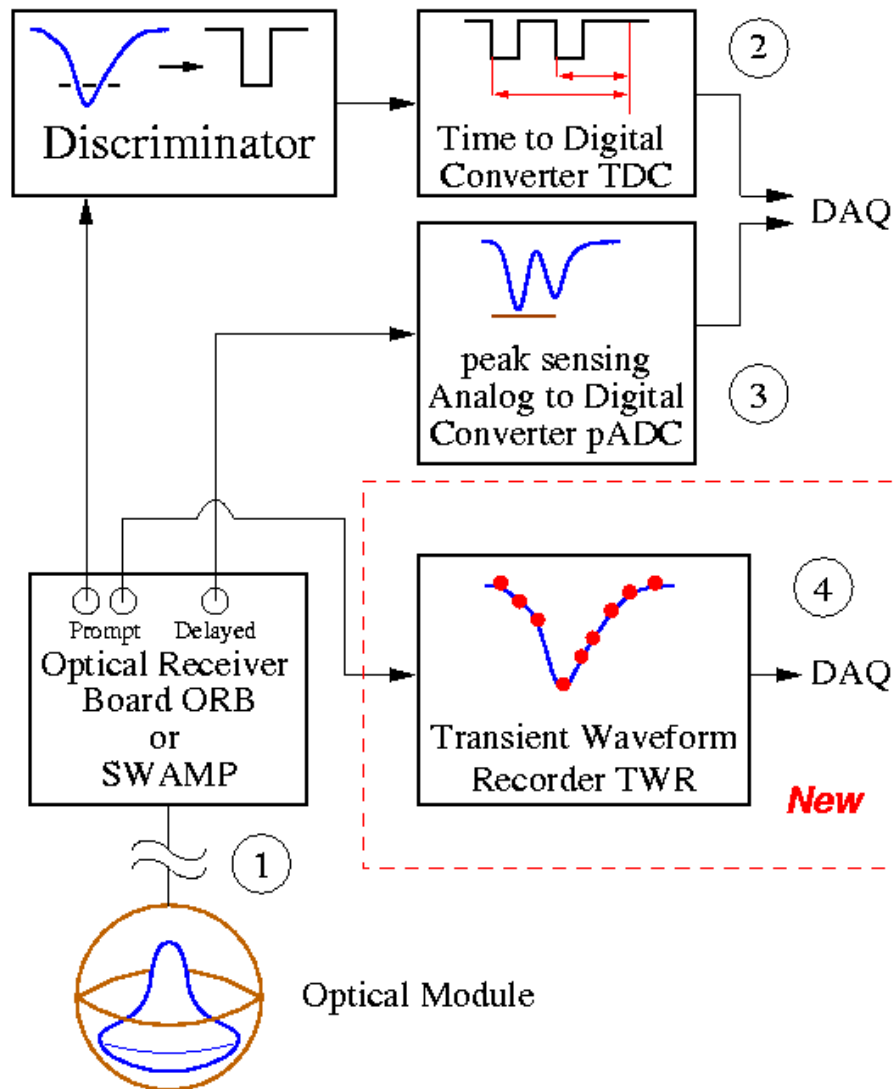
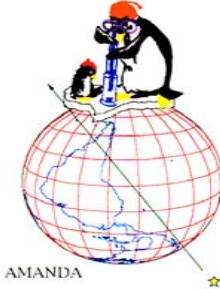
- The optical model is completely outdated (Old values and no vertical ice properties)
- The Pandel parameterization is not very accurate.
  - > Reconstruction directly from tables and better functions are in work

The current Likelihood function: The current SPE or MPE reconstruction do not use the full information (or are even wrong). With help of TWR the full waveforms can be evaluated.

-> Work underway on all 3 fields

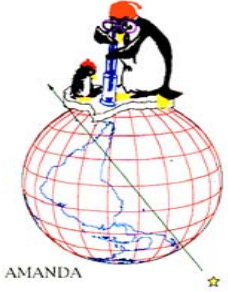


# Transient Waveform Recording (TWR)

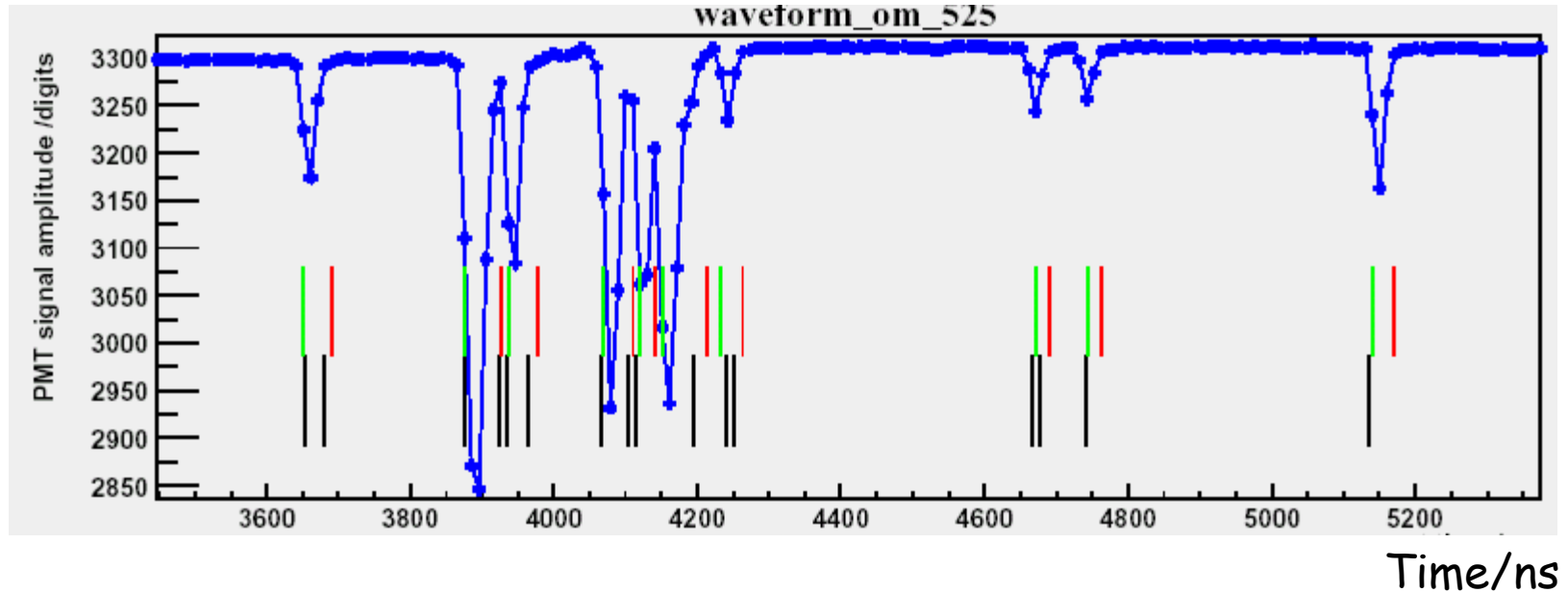


100 MHz FADC, 12 bit, (Struck)

Installed ~580 channels 2002/03



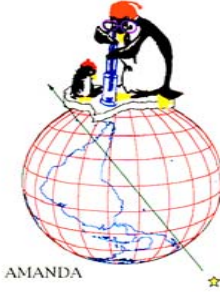
# Complex waveforms



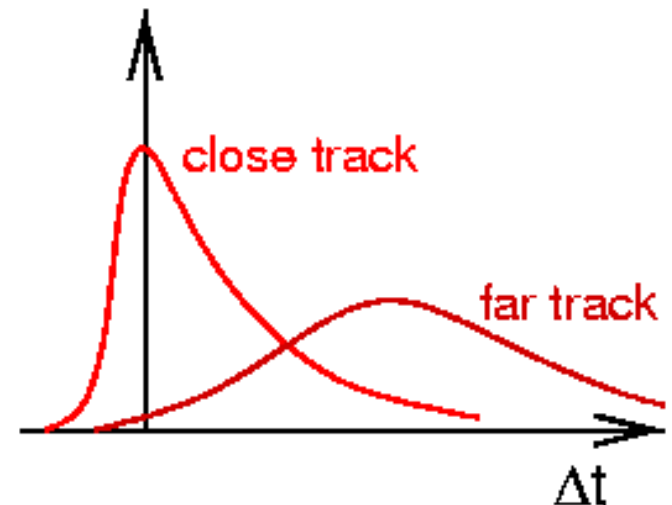
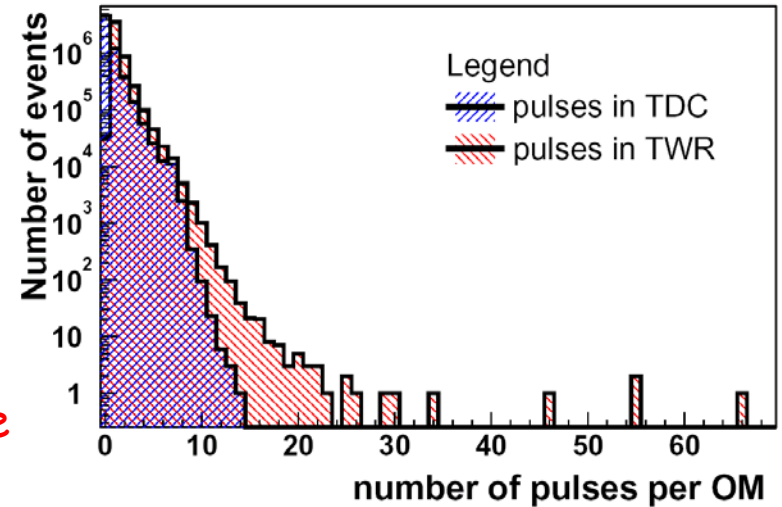
Very complex structures of multi-photon hits not measured by the old DAQ  
Need for pattern recognition and appropriate likelihood models -> in work

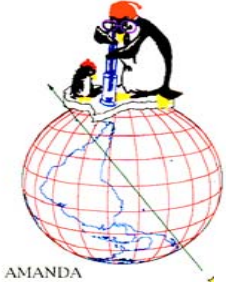


# Advantages from TWR

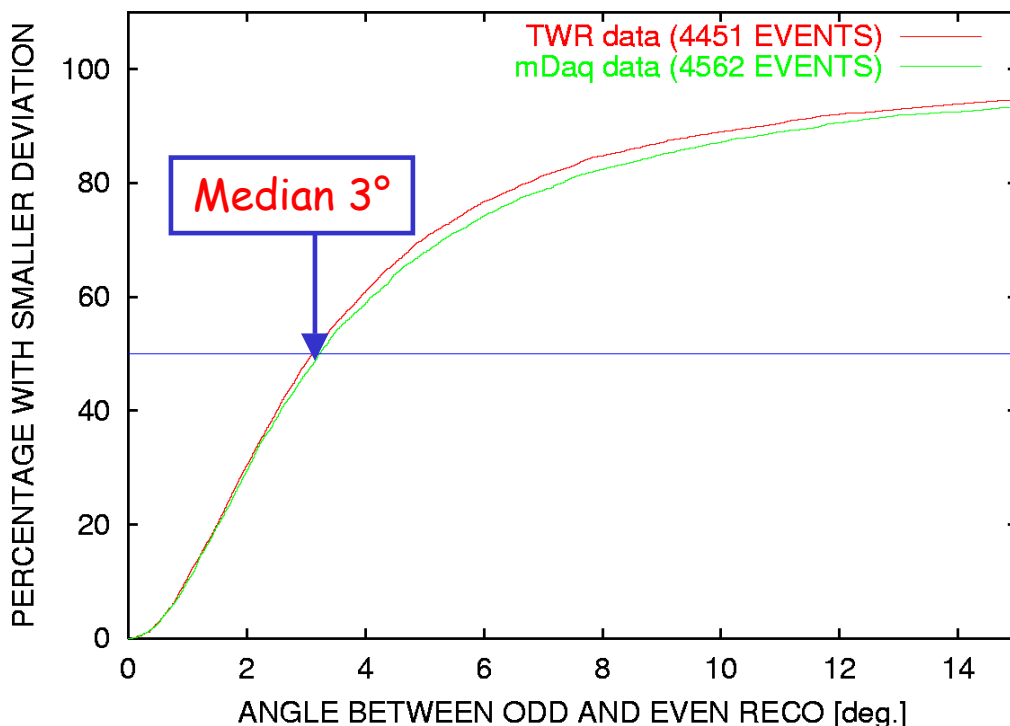
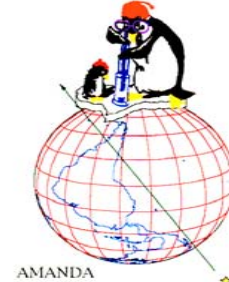


- Dead-time: 10-20 %  $\rightarrow$  0%
- No limitation on the number of Hits (max 8 for the TDC)
- Larger dynamic range
- Measurement of the amplitude of each pulse and photon counting
- In ice each sensor is a full muon detector  
For very high energy events individual PMTs sample hundreds of photons.  
The arrival time distribution strongly depends on the distance.  
Measurement of  $d$  and  $E \rightarrow$  in each sensor (2 parameter fit)





# Initial TWR Results



Cumulative distribution  
Percentage of events  
reconstructed better  
than xx degrees

TWR DAQ already  
slightly better than  
the old DAQ. No new  
methods used yet.

- Simulation of old DAQ in TWR data leads to identical results (not shown)
- How to test new methods: Experimental test
  - Sort hits by time and split in 2 independent samples (odd - even)
  - Angular difference is a measure of the angular resolution (but worse than the full sample)



# Summary



Present Reconstruction has been proven to be sufficient to produce good initial physics results:

- Background rejection up to  $10^{-8}$
- High efficiency up to the horizon (-> point source analysis)
- Angular resolution:  $\sim 0.8^\circ$  (IceCube),  $\sim 2^\circ$  (AMANDA-II)

Large room for improvements:

- Optical model: Depth dependence, Parameters
- Likelihood model: SPE, MPE, pulse trains ...
- Physics model: Hadr. Vertex, brems cascades, Bundles, Starting/Stopping  $\mu$ ,
- Pattern recognition, iterative methods

Transient Waveform Recording:

- Pattern recognition, each PMT is a detector
- Accurate photon timing, MPE Likelihood, Amplitude likelihood
- No Dead-time
- Larger dynamic range, improved reconstruction at large energies